

## §1 The pairing $E/S \times EC$

Recall  $\widehat{E}/S := \text{dual } EC$ , represents functor

$$\text{Pic}^{\circ}_{E/S} : T \mapsto \text{Pic}^{\circ}(T \times_S E) / p_T^* \text{Pic}(T)$$

Aim  $E_n \times \widehat{E}_n \rightarrow \mu_n$  bilinear

First  $S = \text{Spec } k$   $k = \mathbb{K}$

$x \in E_n(k)$ ,  $L \in \text{Pic}^{\circ}(E)_n$  i.e.  $L^{\otimes n} \cong \mathcal{O}_E$

Write  $L \cong \mathcal{O}(\{y\} - \{e\})$

$L^{\otimes n} \cong \mathcal{O}_E$  means  $\exists f \in k(E)$  s.t.

$$\text{div}(f) = \{y\} - \{e\}$$

Then  $t_x^*(\{y\}^* L) = (\{y\} \circ t_x)^* L = \{y\}^* L$

$$\Rightarrow \text{div}(f) = \text{div}(t_x^*(f))$$

Def  $e_n(x, y) := \frac{f}{t_x^*(f)}$

Now general case: (Nach  $T_S^x$  - schreibe  
S für T.)

$$x \in E_n(S), \quad L \in \hat{E}_n(S)$$

Locally on S, fix  $\gamma: \mathcal{O}_E \xrightarrow{\cong} [n]^* L$   
(unique up to  $\mathcal{O}_S^\times$ )

Apply  $t_x^*$ :

$$\gamma_x: \mathcal{O}_E \xrightarrow{\cong} t_x^* \mathcal{O}_E \xrightarrow{t_x^* \gamma} t_x^* ([n]^* L)$$

canonical iso,  
part of defn of  $t_x$  as  
scheme morphism

$$[n]^* L$$

canonical iso from fact  
 $[n] = [n] \circ t_x$

Then  $\gamma_x$  &  $\gamma$  are non-vanishing sections of  $[n]^* L$ ,

hence differ by scalar:

$$\gamma_x = e_n(x, L) \cdot \gamma.$$

Prop 1)  $e_n(x, L)$  independent of  $\gamma$ . In phic, def  
globalizes to all of S. ( $\gamma$  exists only locally).

2) Linear in x and L

3) Values lie in  $\mu_n(s) = \{ f \in \mathcal{O}_S^\times(s) : f^n = 1 \}$

4)  $e_n(x, x) = 0$  (alternating)

5)  $e_{nm}(x, y) = e_n(m \cdot x, y)$  (Compatibility)  
 $nx = 0, ny = 0$

Proof 1)  $t_x^*(z \cdot y) = z \cdot t_x^*(y)$   
 $= e_n(x, z) (z \cdot y)$

→ Independence & globalization.

Remaining statements reduce to alg closed field

in char 0, e.g.  $\mathbb{C}$ .

a) 2) - 5) are identities of sections of  $\mathcal{O}_S$ ,

so enough to show for all  $\mathcal{O}_{S,S}$

⇒ where  $S = \text{Spec } R$  local

b) The def of  $e_n$  is factorial in  $S$ , i.e. if

$E = S \times_{S_0} E_0$ ,  $x, y, L$  etc. all wa

b.c. from  $x_0, y_0, L_0$  etc. /  $S_0$ , then suffice

to prove statements for  $x_0, y_0, L_0, \dots$

c)  $S$  local  $\Rightarrow \omega_E$  trivial

$$\rightarrow E: y^2 + a_1 xy + a_3 y \subseteq \mathbb{P}_S^2$$

$$= x^3 + a_2 x^2 + a_4 x + a_6$$

Thus cover via pull back from

$$S \rightarrow \underbrace{\text{Spec } \mathbb{Z}[a_1, \dots, a_6] \setminus \Delta^{-1}}_{=: \mathcal{B}}$$

Over  $\mathcal{B}$ , have universal Weierstrass family  $E$ ,

$$\tilde{\mathcal{B}} := E[n] \times_{\mathcal{B}} \hat{E}[n] \rightarrow \mathcal{B}.$$

Then  $(E, x, y)$  via pull back from  $S \rightarrow \tilde{\mathcal{B}}$ .

d)  $\tilde{\mathcal{B}} \rightarrow \mathcal{B}$  w finite + loc free, réplic flat

Thus  $\tilde{\mathcal{B}}/\mathbb{Z}$  flat (i.e.  $\mathcal{O}_{\tilde{\mathcal{B}}}$  torsion-free)

$$\Rightarrow \mathcal{O}_{\tilde{\mathcal{B}}} \hookrightarrow \mathbb{Q} \otimes_{\mathbb{Z}} \mathcal{O}_{\tilde{\mathcal{B}}} \hookrightarrow \prod_{\gamma \in \tilde{\mathcal{B}}} K(\gamma)$$

gen point.

All these  $K(\gamma)$  fin gen/ $\mathbb{Q}$  + char  $0$ , hence  $\hookrightarrow \mathbb{C}$ .

Now  $S = \text{Spec } k$  :  $k = \bar{k}$  char  $k = 0$ .

$$\text{div}(f) = [n]^{-1}([ny] - [e]) \text{ as before.}$$

2) Linearity in  $x$ ,  $t_{x_1+x_2}^*(f)/f = t_{x_1+x_2}^*(f)/t_{x_1}^*(f)$

$$= t_{x_1}^* \left( t_{x_2}^*(f)/f \right)$$

$$= e_n(x_1, y) \cdot e_n(x_2, y) \text{ since any } \underline{\text{constant}}$$

$\Rightarrow$  translation invariant.

Linearity in  $L$ : Clear from def.

3) Bilinearity implies  $e_n(\nu, y)^n = e_n(nx, y) = 0$ ,

$$\Rightarrow e_n \in M_n$$

4) Alternating:  $e_n(y, y) = e_n(y, O([ny] - [e])) = 1$ .

Take  $g$  s.t.  $\text{div}(g) = n[y] - n[e]$ .

$$\Rightarrow \text{div}\left(\prod_{i=0}^{n-1} t_{iy}^* g\right) = n \sum_{i=0}^{n-1} ((1-i)y) - [-iy].$$

$= 0$ , hence fct. constant.

Now  $f$  and  $g$  are related by:  $f^n = g \circ f_n$   
 (up to const.)

Let  $ny' = y$ . Then

$$\left( \prod_{i=0}^{n-1} f_{iy'}^* f \right)^n = \left( \prod_{i=0}^{n-1} f_{iy'}^* g \right) \circ f_n$$

$\Rightarrow$  also constant.

$$\Rightarrow h = \prod_{i=0}^{n-1} f_{iy'}^* f \text{ constant}$$

In other words, for all  $t$ ,  $h(t) = h(t + ny')$

$$\Rightarrow \prod_{i=0}^{n-1} f(t + iy') = \prod_{i=0}^{n-1} f(t + (i+1)y')$$

Cancellation  $\Rightarrow f(t) = f(t + ny')$

$$\text{i.e. } e_n(y, y) = \frac{f(t+y)}{f(y)} = 1.$$

5)  $f$  as above. Then  $\text{div}(f \circ f_n) = [nm]^{-1} ([y] - [e])$

$$\Rightarrow e_{nm}(x, y) = \frac{f_0(f_n)}{f_{nx}^*(f_0(f_n))} = \left( \frac{f}{f_{nx}^* f} \right) \circ f_n$$

$$= e_n(mx, y) \quad \square$$

## Further properties

6)  $e_n(x, y) = e_n(y, x)^{-1}$

Proof  $1 = e_n(x+y, x+y)$   
 $= e_{\cancel{(x+y)}}(x, y) e(y, x) \cancel{e(y, y)}$   $\square$

7)  $\dim k + n, k = \mathbb{k}$ . Then

$$e_n: E_n(k) \times \hat{E}_n(k) \longrightarrow \mu_n(k)$$

is uni-degenerate.

Proof Assume  $e_n(x, y) = 1 \quad \forall x$

This means  $f$  invariant under  $E_n(k)$ .

Thus  $f = h \circ [u]$ .

Then  $\text{div}(h) = [y] - [e]$

Then  $[y] = [e]$ .  $\square$

Example Assume  $E/k$  has a level- $n$ -str.  $\alpha$

Then  $\xi_n \in k$ .

Proof  $e_n(\alpha_1, \alpha_2)$  is such root of unity.  $\square$

Example Have  $M_n \rightarrow \text{Spec } \mathbb{Z}[\frac{1}{n}, T]/T^{n-1}$

$$(E, \alpha) \longmapsto e_n(\alpha_1, \alpha_2).$$

This map is smooth of rel dim 1, its fibers are generically connected.

Its image is the conn. comp  $\text{Spec } \mathbb{Z}[\frac{1}{n}, T]/\mathfrak{I}_n(T)$  non-degeneracy

8)  $k = \mathbb{k}$ , char  $k \neq n$ ,  $\xi_n \in M_n(k)$  primitive.

Then 3 symplectic basis:

$\alpha_1 \in E[n](\mathbb{k})$  of order  $n$  any

$e_n$  non-degen  $\rightarrow$  3  $\alpha_2$  s.t.  $e_n(\alpha_1, \alpha_2) = \xi_n$

Since  $e_n$  alternating,  $\alpha_1, \alpha_2$   $\mathbb{Z}/n$ -basis for  $E[n](\mathbb{k})$ .

Then  $e_n(a\alpha_1 + b\alpha_2, c\alpha_1 + d\alpha_2)$

$$= (ad - bc) \cdot \xi_n$$

agrees with determinant pairing.

g)  $k$  any, char  $k + n$ . Pairing may be defined  
on Take module:

$$T_k E \times T_k E \xrightarrow{e} Z_k(1) := \varprojlim_{\mathbb{F} \in \mathcal{S}} \mu_{k^2}(E)$$

$$e((\alpha_i), (\beta_i)) := (e_{k^2}(\alpha_i, \beta_i))$$

Works since  $e_{k^2}(\alpha_{i+1}, \beta_{i+1})^l = e_{k^2}(\alpha_{i+1}, l\beta_{i+1})$

$$\stackrel{S}{=} e_{k^2}(l\alpha_{i+1}, l\beta_{i+1})$$

$$= e_{k^2}(\alpha_i, \beta_i).$$

□

## §2 Application: Structure of $M_n(\mathbb{C})$

$$H^\pm := \mathbb{C} \setminus \mathbb{R} = \left\{ E/c + \tau_1, \tau_2 \in \pi_0(E, c) \right\}$$

class  $\not\cong$

$$\tau_1/\tau_2 \longrightarrow \mathbb{C}/\lambda$$

$$\tau \longmapsto \mathbb{C}/2\tau + 2$$

$$\mathcal{H}_n^\pm := \left\{ (E, \tau_1, \tau_2, \alpha) \mid \text{additional level-}n \text{ str} \right\}$$

$$\text{Then } GL_2(\mathbb{Z}/n) \times H^\pm \xrightarrow{\cong} \mathcal{H}_n^\pm @$$

$$(h, \tau) \mapsto (\mathbb{C}/2\tau + 2, \tau, 1, h \begin{pmatrix} n^{-1}\tau \\ n^{-1} \end{pmatrix}) \in \mathbb{C}^{n-1}/\lambda^{\oplus 2}$$

$GL_2(\mathbb{Z})$  acts on  $\mathcal{H}_n^\pm$  as

$$g \cdot (E, (\tau_1), \alpha) = (E, g(\tau_1), \alpha)$$

Would like express this action in description of @:

$$g = \begin{pmatrix} a & b \\ c & d \end{pmatrix} : (\mathbb{C}/2\tau + 2, \begin{pmatrix} a\tau + b \\ c\tau + d \end{pmatrix}, n^{-1} \cdot h(\tau))$$

$$\xrightarrow{\cong} \left( \mathbb{C}/\mathbb{Z} \cdot g\tau + \mathbb{Z}, \begin{pmatrix} g\tau \\ 1 \end{pmatrix}, n^{-1} \cdot hg^{-1} \begin{pmatrix} g\tau \\ 1 \end{pmatrix} \right)$$

$g\tau := \frac{a\tau + b}{c\tau + d}$

$$\Rightarrow g \cdot (h, \tau) = (hg^{-1}, g\tau)$$

Aim: Determine  $M_n(\mathbb{C}) = \overline{GL_2(\mathbb{Z}) \backslash GL_2(\mathbb{Z}/n)} \mathbb{H}^\pm$

$$\Gamma(n) := \ker \left( GL_2(\mathbb{Z}) \longrightarrow GL_2(\mathbb{Z}/n) \right)$$

$$= \text{Stab}(h) \quad \forall h \in GL_2(\mathbb{Z}/n) \subset GL_2(\mathbb{Z})$$

$$M_n(\mathbb{C}) = \coprod_{h \in GL_2(\mathbb{Z}) \backslash GL_2(\mathbb{Z}/n)} \Gamma(n) \backslash \mathbb{H}^\pm$$

Claim: Image  $(GL_2(\mathbb{Z}) \longrightarrow GL_2(\mathbb{Z}/n))$

$$= \{ h \text{ s.t. } \det h \in \{\pm 1 \pmod{n}\} \}$$

Proof:  $\det \begin{pmatrix} -1 & 1 \\ 1 & 1 \end{pmatrix} = -1$ , so enough to show image contains  $SL_2(\mathbb{Z}/n)$ .

This is extended Euclidean algorithm:

given  $\begin{pmatrix} \bar{a} & \bar{b} \\ \bar{c} & \bar{d} \end{pmatrix} \in SL_2(\mathbb{Z}/n)$ , pick any

Let  $\tilde{h} = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in M_2(\mathbb{Z})$ .

Then  $(a, b) = 1$  &  $\det(\tilde{h}) \equiv 1 \pmod{n}$ .

Euclidean Alg:  $\exists p, q$  s.t.  $aq - bp = 1$

$$\text{Now take } (c, d) = (\tilde{c}, \tilde{d}) - \underbrace{(\det \tilde{h} - 1) \cdot (p, q)}_{\equiv 0 \pmod{n}}.$$

□

$$\Rightarrow M_n(\mathbb{C}) = \coprod_{\lambda \in (\mathbb{Z}/n)^{\times} / \{\pm 1\}} \Gamma(n) \backslash \mathcal{H}^{\pm}$$

Two cases:  $n=1$  or  $2$ , then  $\exists g \in \Gamma(n)$  w/  $\det g = 1$

$$\text{We obtain } M_n(\mathbb{C}) = \Gamma(n) \cap \mathrm{SL}_2(\mathbb{Z}) \backslash \mathcal{H}$$

$\mathcal{H} = \{ \text{Im } \tau > 0 \}$

$n \geq 3$   $1 \not\equiv -1 \pmod{n}$ , so  $\Gamma(n) \subseteq \mathrm{SL}_2(\mathbb{Z})$

preserves conn. comp. of  $\mathcal{H}^{\pm}$

$$M_n(\mathbb{C}) \cong \coprod_{(\mathbb{Z}/n)^{\times}} \Gamma(n) \backslash \mathcal{H} \quad (*)$$

Final observation:  $\mathcal{H}$  is connected, so  $e_n(\alpha_1, \alpha_2)$  is constant on each of above

com. comp. But  $GL_2(\mathbb{Z}/n)$  acts wa

det :  $GL_2(\mathbb{Z}/n) \rightarrow (\mathbb{Z}/n)^\times$  on  $\pi_0$  of

above space + also by det on left pairing:

$$e_n(a\alpha_1 + b\alpha_2, c\alpha_1 + d\alpha_2) = e_n(\alpha_1, \alpha_2)^{\text{ad-lc}}$$

$$\Rightarrow \prod_{\xi \in \mu_n(\mathbb{C})} M_{n,\xi}(\mathbb{C}) = \prod_{\chi \in (\mathbb{Z}/n)^\times} \mathcal{H}$$

$e_n(\alpha_1, \alpha_2) \in \{ \}$

Question Where did  $\mu_n(\mathbb{C})$  get identified w/  $(\mathbb{Z}/n)^\times$ ?

Answer Iso @ involved choice  $n^{-1}(\frac{\tau}{1})$  as  
level - n - str over  $\mathbb{Z}^\pm$

→ choice of primit. root of unity  $e_n(n^{-1}\tau, n^{-1})$   
up to inverse, because we have not chosen

$$\mathbb{Z}^\pm = \{ \operatorname{Im} z > 0 \} \sqcup \{ \operatorname{Im} z < 0 \} \text{ yet.}$$

This choice is then made in (\*).

Rapoport's lecture: This choice is  $e^{\frac{2\pi i}{n}}$

(I don't know why currently.)

Two remarks 1) The surjectivity  $SL_2(\mathbb{Z}) \rightarrow SL_2(\mathbb{Z}/n)$

2) called strong approximation for  $SL_2$ :

$$SL_2(\mathbb{A}) \subset SL_2(\mathbb{A}_f) \text{ is dense.}$$

This holds for all simply connected semi-simple

alg. groups  $/\mathbb{Q}$ . E.g.  $SL_n$ ,  $SL_n$ ,  $Sp_{2n}$ ,  
 $Sp_{2m}$  ( $m \geq 3$ )

2) In general description of loc. sym. space

$$\frac{(G(\mathbb{A}_f)/K_f \times G(\mathbb{A}_{\infty})/K_{\infty})}{G(\mathbb{Q})} \cong \coprod_{i \in I} \Gamma_i \backslash X$$

various different  $\Gamma_i$  will occur.

Here, normality of  $\Gamma(n) \subset GL_2(\mathbb{Z})$  + fact that

$$\mathbb{Q} \text{ has class number } 1 \quad \left( \frac{GL_2(\mathbb{Q}) \backslash GL_2(\mathbb{A}_f)/GL_2(\mathbb{Z})}{= h_{\text{pt}} \{ \}} \right)$$